

NOTICE OF FUNDING OPPORTUNITY

The North Dakota Department of Corrections and Rehabilitation is announcing a funding opportunity for the FY 2027 VOCA Assistance Grant.

Performance Period:

- October 1, 2026, through September 30, 2027

IMPORTANT DATES

VOCA Grant Solicitation:

- July 1, 2026 through July 31, 2026 at 12:00 p.m. (noon)

VOCA Grant Application Due:

- July 31, 2026 at 12:00 p.m. (noon)

CONTACT INFORMATION

Tammy Klein

tlklein@nd.gov



TABLE OF CONTENTS

CONTACT INFORMATION.....	3
INTRODUCTION.....	4
ELIGIBILITY REQUIREMENTS.....	4
APPLICATION PROCESS	5
CERTIFICATIONS	6
APPLICATION DOCUMENTS	7
APPLICATION QUESTIONS.....	9
APPLICATION TIMETABLE.....	10
RISK ASSESSMENT.....	10
REPORTING SCHEDULE	11
MATCH.....	12
REIMBURSEMENT REQUESTS	12
QUARTERLY PERFORMANCE MEASURES REPORT	13
CLOSEOUT REPORT	14
PROFESSIONAL CONDUCT POLICY.....	16
SUBRECIPIENT NON-COMPLIANCE.....	17
INDIVIDUAL COSMOS USER ACCOUNTS	17
ELIGIBLE DIRECT SERVICES & COSTS.....	18
INELIGIBLE DIRECT SERVICES AND COSTS	22

CONTACT INFORMATION

GRANTEE AGENCY	CONTACT INFORMATION	
North Dakota Department of Corrections and Rehabilitation (ND DOCR)	VOCA Grant Programs P.O. BOX 1898 Bismarck, ND 58502-1898 Phone: (701) 328-6220 DOCRgrants@nd.gov	
GRANT PROGRAM STAFF	NAME	CONTACT INFORMATION
Grants and Contract Administrator	Tammy Klein	tklein@nd.gov
Interim Chief Financial Officer	Charlie Hedden	chedden@nd.gov
WEB-BASED GRANT MANAGEMENT SYSTEM	CONTACT INFORMATION	
COSMOS	https://apps.nd.gov/docr/cosmos/menu/	
SUBGRANTEE DOCR WEBSITE	CONTACT INFORMATION	
ND DOCR Victim Services Website	https://docr.nd.gov/victims-services/grant-information	
OTHER AGENCIES	CONTACT INFORMATION	
Office of Justice Programs (OJP)	U.S. Department of Justice 810 Seventh Street NW Washington, DC 20531	
Office for Civil Rights (OCR)	https://ojp.gov/about/ocr/complaint.htm	
Office for Victims of Crime (OVC)	https://ojpsso.ojp.gov/ Help Desk Telephone Number 1-844-884-2503	
Performance Measurement Tool (PMT)	Help Desk Email ovcpmt@usdoj.gov ovcpmt@usdoj.gov	

INTRODUCTION

The North Dakota Department of Corrections and Rehabilitation (ND DOCR) will be awarding up to \$5 million for the Victims of Crime Act (VOCA) Assistance Grant. There will only be **ONE** grant application open in COSMOS under this solicitation. Accordingly, there will only be **ONE** award offer to each agency.

This Notice of Funding Opportunity provides information and guidance for the VOCA Assistance Grant.

The performance period begins October 1, 2026 and ends September 30, 2027. All agency and general eligibility requirements outlined below must be met before applicants may apply. Proof of meeting these requirements is part of the application process.

VOCA Assistance Grant funding is meant to provide victims of crime service agencies with a source of funding to help sustain programs by offering direct services to victims of crime which also may cover personnel and operational expenses.

The ND DOCR makes an effort to continue funding organizations that remain both eligible and effective in providing victims of crimes from year to year. However, agencies must show evidence of their eligibility and effectiveness each year in the application process. The ND DOCR does not guarantee any agency will continue to receive funding from one year to the next. All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by Federal or State law. The award funding opportunity uses a formula based on objective and measurable criteria to determine award allocations.

ELIGIBILITY REQUIREMENTS

AGENCY REQUIREMENTS:

To be eligible for VOCA grant funding, a subrecipient must be operated by a criminal justice, governmental unit, public, or private non-profit or tribal agency (see below for definitions). Providing direct services to victims of crime must be a core component of the agency's mission and operations.

1. **CRIMINAL JUSTICE AGENCIES:**

This category includes state and local law enforcement agencies, prosecutor's offices and correction departments are eligible to receive VOCA grant funding to pay for victims of crimes. Grants made to law enforcement agencies must be used for direct services to victims of crime, such as victim of crimes crisis response units.

2. **PRIVATE NON-PROFIT AGENCIES:**

This category includes shelters, safe houses, rape crisis centers, faith-based agencies, neighborhood programs, and mental health agencies. In addition to the general eligibility requirements, a private non-profit agency must have obtained 501(c)(3) non-profit status.

3. **AMERICAN INDIAN OR ALASKA NATIVE TRIBES:**

This category includes federally recognized American Indian or Alaska Native tribes, or projects that operate on tribal lands.

4. **OTHER AGENCIES:**

This category includes state and local public agencies that provide services specifically designed to serve victims of crime.

GENERAL REQUIREMENTS:

1. The subrecipient must be located in North Dakota and primarily serve North Dakota residents.
2. The subrecipient must comply with all of the provisions outlined in the VOCA Grant Subrecipient Agreement.
3. A subrecipient receiving VOCA grant funding must have financial support from other sources in addition to VOCA grant funding and shall provide cash or an in-kind match contribution, or a combination of both. The total project cost is the amount of the VOCA funds plus the match amount. Federal funds may not be used for the cash match.
4. The subrecipient shall use volunteers throughout the performance period. If an agency is not able to meet this requirement, a request for a volunteer waiver must outline significant and specific reasons why volunteers cannot be used. ND DOCR may grant a waiver for this requirement.
5. The subrecipient shall provide direct services to victims of federal crimes on the same basis as state or local victims of crime.
6. The subrecipient shall not use or reveal any research or statistical information identifiable to any specific individual, for any purpose other than for which such information was obtained.
7. The subrecipient shall provide assistance to victims of crime to the State of North Dakota Compensation Funds to apply for benefits. The ND DOCR is the administering agency of the state victims of crime compensation fund. (<https://www.docr.nd.gov/crime-victims-compensation>)
8. The subrecipient shall demonstrate and promote a record of providing effective direct services to victims of crime, including support from sources other than VOCA in a cost-effective manner.
9. The subrecipient shall be required to cooperate with all VOCA Grant audit reviews.
10. If a single audit is required, the subrecipient must have implemented all necessary recommendations prior to applying for the FY 27 grant.
11. Each subrecipient will be required to offer each VOCA funded position additional trauma informed communication with all victims of crime.

ADDITIONAL SOURCES OF FUNDING:

Each agency that is applying must have additional sources of funding for FY2027 and every year following. VOCA funding is not guaranteed, and we want to ensure all of our subrecipients can maintain their programs and most importantly support victims of crime.

APPLICATION PROCESS

COSMOS GRANT MANAGEMENT SYSTEM:

All applicants shall utilize COSMOS to review the application documents and apply for the VOCA Grant. Applicants will be required to acknowledge acceptance of the Subrecipient Agreement by electronic signature. Subrecipients will also utilize COSMOS to submit reimbursement requests and required reports per the Reporting Schedule. Training is essential for system access, grant application, fiscal management and reporting in the COSMOS system. COSMOS on-line training is available on the ND DOCR Victim Services website at <https://docr.nd.gov/victims-services/grant-information>.

Access the COSMOS system and download all the application documents. It is expected that all subrecipients will read and understand the requirements outlined in this document.

The list of documents outlined below shall accompany, as applicable, and be attached to all applications for the VOCA Grant. Also, the Certifications as outlined in the next section must also be submitted with each VOCA Grant application. Failure to submit a complete application may result in an application being rejected or denied.

ARTICLES OF INCORPORATION 501(c)(3):

In addition to the general eligibility requirements, a private non-profit agency shall also have obtained Articles of Incorporation 501(c)(3) certifying that the agency is registered with the North Dakota Office of the Secretary of State, as a private non-profit agency at: <https://sos.nd.gov/index.html>.

BOARD OF DIRECTORS:

Provide a list of names, addresses and telephone numbers of the current board of directors.

CURRENT AGENCY STAFF:

Provide current staff, job titles, and job descriptions for positions that are VOCA funded. Job descriptions shall be specific to the individual and only include allowable activities to be supported with VOCA funds. Please also include a list of positions that are **non-funded by VOCA**.

UNIQUE ENTITY IDENTIFIER (UEI), FORMERLY KNOW AS DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER & SYSTEM FOR AWARD MANAGEMENT (SAM) REGISTRATION:

The subrecipient must complete registration and obtain a UEI/DUNS number and then register with SAM prior to accessing COSMOS and applying for a VOCA grant. UEI/DUNS number and SAM registration information is located at: [Entity Registration | SAM.gov](#)

DETERMINATION OF SUITABILITY:

Federal requirements must be met by each agency for all employees that are interacting with minor children whether they are funded federally or not.

CERTIFICATIONS

Applicants will be required to certify their compliance with several Federal requirements. Those requirements are outlined below. The Certification Forms are attached to the VOCA Subrecipient Agreement as Exhibits and must be submitted with the application.

LOBBYING; DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS; DRUG-FREE WORKPLACE REQUIREMENTS:

Federal funds may not be used by the State, or any subrecipient, at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification or adoption of any law, regulation, or policy, at any level of government. Should any questions arise as to whether a particular use of federal funds by a recipient (State) or subrecipient would or might fall within the scope of this prohibition, the recipient (State) is to contact The Office of Justice Programs (OJP) for guidance and may not proceed without the express prior written approval of OJP.

The State and subrecipient receiving Department of Justice funding, such as Victim of Crime Act (VOCA) funding, is required to complete Certifications regarding Lobbying; Debarment, Suspension and other Responsibility Matters; and Drug-free Workplace Requirements. Applicants must complete the Certification Form, attached as Exhibit B, and submit with their application.

EQUAL OPPORTUNITY EMPLOYMENT PLAN:

An Equal Employment Opportunity Plan (EEOP) is a work force report that agencies shall complete as a condition for receiving Justice Department funding authorized by the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. § 3789d.) The purpose of the EEOP is to make sure the subrecipient receiving financial assistance from the Justice Department is providing equal employment opportunities to men and women regardless of age, race, sex or gender identity, national origin, religion or disability. The federal regulations establishing the EEOP requirements also link a diverse workforce to effective law enforcement. The experience of the [Justice Department] in implementing its responsibilities under the U.S. Crime Control and Safe Streets Act as amended,

has demonstrated that the full and equal participation of women and minority individuals in employment opportunities in the criminal justice system is a necessary component to the Safe Streets Act of 1968's program to reduce crime and delinquency in the United States at 28 C.F.R. § 42. The Justice Department regulations pertaining to the development of a comprehensive EEOP can be found at [28 C.F.R. § 42.301-308](#).

To determine if your agency is required to complete and submit an EEOP Certification or EEOP Utilization Report, access the OJP information at <https://www.ojp.gov/eeop-notice>. Review the information and instructions under the EEOP Reporting Tool Job Aid. Applicants will need to complete the Certification Form, attached as Exhibit D, and submit with their application. Exhibit D EEOP Certification Form is not required for the FY27 VOCA Grant because the OCR is temporarily pausing the collection of this information while they evaluate the program.

OFFICE OF MANAGEMENT AND BUDGET (OMB) UNIFORM GUIDANCE AT 2 CFR PART 200, SUBPART F:

Any governmental unit (state or local) or private non-profit agency expending less than \$1,000,000 in federal funds in any fiscal year is not required to secure a single or program specific audit (Please see [eCFR :: 2 CFR Part 200 Subpart F -- Audit Requirements](#)). Required Uniform Guidance Audits (aka single or program specific audits) must be submitted online to the [Federal Audit Clearinghouse](#) for any governmental unit (state or local) or private nonprofit organization expending \$1,000,000 or more of federal funds in a fiscal year. The audit, the data collection form, and the reporting package must be submitted within 30 calendar days after the auditee receives the auditor's report(s) or nine months after the end of the audit period (whichever is earlier).

Applicants must complete the Single Audit Certification Letter, attached as Exhibit C, and submit with their application.

FEDERAL CIVIL RIGHTS TRAINING:

The subrecipient receiving financial assistance from the Office of Justice Programs (OJP), must comply with the [Federal statutes and regulations](#) that prohibit discrimination in federally assisted programs or activities. The subrecipient may not discriminate in employment based on age, race, sex or gender identity, national origin, religion, or disability. The subrecipient also may not discriminate in the delivery of services or benefits based on age, race, sex or gender identity, national origin, religion, or disability. The Office of Civil Rights (OCR) has developed online civil rights training curriculum for grantee agencies and subrecipients. OJP Civil Rights Non-Discrimination Online Training shall be completed prior to receiving VOCA funding by accessing the training modules at <http://ojp.gov/about/ocr/ocr-training-videos/video-ocr-training.htm>.

At this time, there is no certification form required for completion of Federal Civil Rights Training.

APPLICATION DOCUMENTS

The following documents must be submitted with each application:

Articles of Incorporation 501(C)(3)	Required if applicant is Private Non-Profit
Board of Directors	Required for all applicants
Lobbying and Debarment Certification	Required for all applicants
EEOP Certification	Required for all applicants-not for FY27 Grant
Single Audit Certification	Required for all applicants
Budget Narrative	Required for all applicants
Budget Detail	Required for all applicants
Volunteer job descriptions	Required for all applicants without a volunteer waiver
Job descriptions for VOCA paid staff	Required for all applicants
Letter of Collaboration	Required for all applicants

Documentation supporting Match expectations	Required for all applicants
Determination of Suitability Form	Required for all applicants
Risk Assessment	Required for all applicants

BUDGET NARRATIVE:

The Budget Narrative within COSMOS is limited on space. Therefore, each sub will be required to complete for approval, the Budget detail sheet. The Budget Narrative needs to match the Budget Detail.

BUDGET DETAIL:

A detailed budget outline will be required for all applicants. When preparing your budget, each agency will need to have an allocation basis for how they arrive at the figures in the budget. If you budget a certain amount for utilities, you should be able to demonstrate how you arrived at that specific proration, keeping in mind that this basis should be calculated by VOCA activities and not by funding sources. Below is an example of the information necessary to establish a basis to claim \$1,120 for utilities expenses:

- Yearly utilities expenses are approximately \$3,200 or \$266.67 per month. VOCA activities make up approximately 35% of the total agency activities. Therefore, utilities expenses billed to VOCA should be approximately 35% of \$3,200 or \$1,120 for the year.

Indirect and Administrative Costs Methods -

If a recipient does not have an approved indirect cost rate, an agency may request the de minimus rate of 15%

Indirect Cost Rate: A recipient may include their approved indirect cost rate in a grant application. The approved Indirect Cost Rate Agreement must be attached to the grant application.

15% De Minimus Rate: If a recipient elects to use the 15 percent de minimis rate, the Uniform Guidance requires that you use Modified Total Direct Costs (MTDC) as the cost base. MTDC includes all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award).

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. Attach letter to the grant application.

Direct Allocation Method: Direct allocation treats ALL costs except administration and other general expenses as direct costs. Joint costs are prorated individually as direct costs to each category and to each award or other activity using a base most appropriate to the particular cost being prorated.

VOLUNTEER JOB DESCRIPTIONS:

A job description will be required for any duties performed by volunteers.

JOB DESCRIPTIONS FOR STAFF:

A job description will be required for each staff member included in the budget.

LETTER(S) OF COLLABORATION:

A letter of collaboration is required and must include the following:

Identify the agency and provide a brief history of the collaborative relationship, including when and under what circumstances the relationship began.

1. Clearly state the roles and responsibilities each agency will assume to ensure the success of the

- proposed project.
2. Demonstrate the capacity of the grant-funded staff to successfully implement project activities.
 3. Describe the resources each partner would contribute to the project, either through time, in-kind contributions, or grant funding.
 4. Demonstrate a commitment to work together to achieve stated project goals and objectives.

DOCUMENTATION SUPPORTING MATCH:

Applicants must provide documentation supporting their expectations for match.

DETERMINATION OF SUITABILITY FORM:

The Award Condition of Determination of Suitability for Covered Individuals Who May Interact with Participating Minors was incorporated into Department of Justice awards starting in federal fiscal year 2019. The subrecipient must make determinations of suitability before certain individuals may interact with participating minors. The North Dakota Department of Corrections and Rehabilitation DETERMINATION of SUITABILITY AWARD CONDITION form must be completed by all subrecipients that may interact with minors.

RISK ASSESSMENT:

Applicants must submit the Risk Assessment questionnaire.

APPLICATION QUESTIONS

- Q01 What area do you primarily serve victims of crime? Please name cities and counties.
- Q02 How will the services you offer address community needs.
- Q03 Describe the method(s) your agency plans for collaboration with local and state partners to accomplish the proposed goals in your agency's VOCA Grant application.
- Q04 Describe your agencies allocation method to ensure VOCA activities are prorated as part of the agency's total activities and not other funding sources.
- Q05 VOCA funding from year to year is never guaranteed. In the event FY26 has a decrease in funding, how will you continue to provide direct services to victims of crime?
- Q06 Describe the method(s) your agency plans to use to collect statistical information, measure progress, and determine success with proposed VOCA Grant funding.
- Q07 How will your agency advocate the Crime Victims Compensation Program.
- Q08 Describe how your agency will use volunteers within the performance period.
- Q09 In the upcoming grant cycle, how will your agency become more relevant to the need's victims?
- Q10 Imagine you are a victim of a serious crime, such as robbery or assault. Reflect on your experience as a victim, considering the emotional, psychological, and practical challenges you faced in the aftermath of the crime. Discuss how your agency's support services could better assist this victim (you) in coping with the trauma, seeking justice, and rebuilding their lives.
- Q11 Who will sign your contract? Please provide their name, title, and contact information.

APPLICATION TIMETABLE

These dates are approximate and provide subrecipients with an estimated timeline regarding the application approval/denial process.

APPLICATION REVIEW AND GRANT AWARDS TIMELINE	ACTIVITY
July 1, 2026	VOCA Grant Solicitation Released
July 31, 2026	Applications Due in COSMOS by Noon
August 23 - 30, 2026	Applications reviewed by Crime Victim’s Services Board Members
September 2 - 10, 2026 TBD	Crime Victim’s Services Board meets to recommend projects and funding levels
September 15, 2026	Award Decisions made and Award Information released
October 1, 2026	Period of performance begins

RISK ASSESSMENT

As required by 2CFR 200.331, the ND DOCR will be conducting a risk assessment of each subrecipient after the award amount has been determined. The subrecipient is required to assist and comply with the completion of the risk assessment.

The following questions will be asked as part of the risk assessment.

1. Has your entity previously received grant funds from the ND DOCR?
2. Does your entity have a policy regarding conflict of interest?
 - a. If yes, has any conflict of interest been disclosed between your entity and the ND DOCR?
3. Does the grant administrator have more than three (3) years of experience in managing the objectives expected under this grant program?
4. Does the grant administrator and the financial staff who will oversee this grant have more than one (1) year prior federal grant award experience?
5. Do you anticipate contracting services to carry out the grant with these funds?
6. Does your entity have written policies and procedures for following business processes that meet the minimum federal requirements?
 - a. Grant compliance;
 - b. Procurement; and,
 - c. Fixed Assets & Inventory.

Accounting Systems and Financial Information:

1. What was the total budget for your agency in most recent fiscal year?
2. Which of the following best describes your entity’s accounting system? Manual, Automated, or Combination. Does the accounting system identify the deposits and expenditures of program funds for each grant separately?
3. Are timesheets completed for an employee who receives funding from multiple sources?

4. Does your entity have any concerns regarding the ability to provide the required match?
5. Did an independent certified public accountant audit your organizations financial statements?
6. If your entity received over \$1,000,000 in federal funds from all sources last year, was a single audit conducted on the entity per 2 CFR200.501?
 - a. If yes, were there any audit findings regarding program non-compliance and/or significant internal control deficiency?
7. Are there currently any unresolved audit issues?

REPORTING SCHEDULE

PERFORMANCE PERIOD: OCTOBER 1, 2026 - SEPTEMBER 30, 2027

All subrecipients shall submit required statistical and narrative data per the VOCA Grant Reporting Schedule outlined below. Subrecipients shall upload a copy of each quarterly report into the COSMOS Filing Cabinet.

DUE DATE	TYPE OF REPORT	DOCUMENTATION	WHERE TO SUBMIT
15th day of the month. <i>(Must be submitted monthly, at a Minimum)</i>	Reimbursement Requests	Your agency is required to maintain individual receipts for a period of <u>six years</u>	COSMOS https://apps.nd.gov/docr/cosmos/menu/
01/15/27	1st Quarterly Performance Measures Report	Demographic and service information for the period of 10/1/26 - 12/31/26 Match Documentation and Spreadsheet	COSMOS https://apps.nd.gov/docr/cosmos/menu/
04/15/27	2nd Quarterly Performance Measures Report	Demographic and service information for the period of 01/01/27 - 03/31/27 Match Documentation and Spreadsheet	COSMOS https://apps.nd.gov/docr/cosmos/menu/
07/15/27	3rd Quarterly Performance Measures Report	Demographic and service information for the period of 04/01/27 - 06/30/27 Match Documentation and Spreadsheet	COSMOS https://apps.nd.gov/docr/cosmos/menu/

10/15/27	4th Quarterly Performance Measures Report	Demographic and service information for the period of 07/01/27 - 09/30/27 Match Documentation and Spreadsheet	COSMOS https://apps.nd.gov/docr/cosmos/menu/
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MATCH

Documentation supporting match shall be **submitted in COSMOS at a minimum of each quarter through a file cabinet request.**

A subrecipient receiving VOCA grant funding shall have financial support from other sources in addition to VOCA grant funding and shall provide a match contribution (cash or an in-kind, or a combination of both). Documentation supporting Match shall be attached to the application in COSMOS.

The total project cost is the amount of the VOCA funds plus the match amount. Federal funds may not be used for the cash match. An agency with previous **VOCA subawards shall use a 20% cash or in-kind match, or combination of both. An agency new to VOCA shall use a 25% cash or in-kind match, or combination of both. American Indian or Alaska Native Agencies are exempt from project match requirements.**

EXAMPLE:

- Step 1.** Award Amount ÷ % of Grant Award Share = Total (Adjusted) Project Cost
- Step 2.** Total (Adjusted) Project Cost x % of Recipient's Share = Required Match

If the VOCA subaward amount is \$80,000 and the match is 20%:

- Step 1.** \$80,000 / .80 = \$100,000 (Total adjusted project cost)
- Step 2.** \$100,000 x .20 = \$20,000 (required match)

REIMBURSEMENT REQUESTS

Reimbursement Requests are required to be submitted once per month. Failure to submit per the required schedule may result in sanctions as detailed below in the Subrecipient Non-Compliance. Reimbursement Requests are due on the 15th day of each month.

Clear and concise documentation will be required when submitting reimbursement requests. The ND DOCR must be able to easily reconcile the documents submitted with the dollar amount requested. **IF APPLICABLE, PLEASE INCLUDE YOUR CALCULATIONS FOR VOCA ALLOCATION REIMBURSEMENTS (I.E., SALARY, FRINGE, ETC.), AS WE ARE AWARE YOU MAY BE IN RECEIPT OF MULTIPLE FUNDING SOURCES.**

The ND DOCR understands there are many different accounting systems in use by subrecipients. At this time the ND DOCR is not mandating the use of specific forms for submitting reimbursement requests. However, as a best practice, subrecipients are encouraged to use the Subrecipient Reimbursement Request Template, which can be downloaded from <https://www.docr.nd.gov/grant-information> under VOCA Links.

If a subrecipient chooses not to use the Subrecipient Reimbursement Request Template, the same information must be provided.

Receipts will be required for all expenses submitted and must include a notation on the receipt indicating what portion is being claimed under VOCA (**please include your calculation as well**) and what budget category the expense is for. Employee timesheets will be required per the requirements outlined in the Subrecipient Manual. The Subrecipient Manual can be found on the ND DOCR website <https://www.docr.nd.gov/grant-information>, under VOCA Links.

OFFICE FOR VICTIMS OF CRIME (OVC) PERFORMANCE MEASURE TOOL (PMT)

FAILURE TO SUBMIT QUARTERLY PERFORMANCE REPORTS, PER THE REQUIRED SCHEDULE, MAY RESULT IN SANCTIONS AS DETAILED BELOW IN SUBRECIPIENT NON-COMPLIANCE.

Training is essential for system access and reporting in the PMT system. It is recommended that the subrecipients complete all training prior to starting any reporting in the PMT system. Training and reporting may be accessed at <http://ojpsso.ojp.gov> Proceed to the "Need Help" tab and click directly on the tab itself. All training and resources are available under this tab.

All federal reporting is required to be completed in the OVC PMT system. If your agency established an account through a previous VOCA grant, your information automatically transfers and your access to the PMT system should still be active. If your agency is new to the PMT system, your agency's authorized representative should contact the ND DOCR for initial instructions to establish a new PMT account.

The subrecipient shall submit all required PMT statistical data and narrative reporting information per the VOCA Grant Reporting Schedule. Failure to complete reports per the VOCA Grant Reporting Schedule, may affect future monitoring activities (such as more audits) and could affect future awards.

PMT SUBGRANT AWARD REPORT (SAR):

The SAR consists of the subrecipients proposed activities from the VOCA Grant Application to be implemented within the performance period. Any SAR changes during the performance period must be completed in PMT within thirty (30) days of the change.

PMT QUARTERLY PERFORMANCE MEASURE REPORTS:

The subrecipient is required to submit quarterly performance measure reports on the performance metrics identified by OVC, and in the manner required by OVC. The quarterly performance measure report contains demographic and direct services information on age, race, sex or gender identity, national origin, religion or disability collected by the subrecipient during each of the four quarters and submitted through the PMT system within fifteen (15) days after the close of each quarter. Subrecipients shall upload the completed quarterly report into COSMOS.

PMT SUBGRANTEE ANNUALLY REPORTED QUESTIONS:

Data and narrative questions required for performance and effectiveness under the award. The subrecipient shall collect and maintain data that measures the performance and effectiveness of the activities under this award. The subrecipient Annually Reported Questions are located at the end of the Fourth Quarterly Performance Measures Report and shall be submitted through the PMT system within fifteen (15) days after the end of the performance period.

CLOSEOUT REPORT

Failure to submit the Closeout Report, per the required schedule, may result in sanctions, as detailed below in Subrecipient Non-Compliance.

The following information is required as part of the closeout process for the VOCA Grant. The Closeout Report provides information to the ND DOCR regarding the progress, performance narrative, and statistical data gathering completed during the performance period. The Closeout Report shall be completed by the subrecipient and submitted through COSMOS within fifteen (15) days after the close of the performance period.

Also, a copy of each quarterly report from the PMT system shall be uploaded into COSMOS during Closeout.

1. Provide the total number of crime victims and victimization types your agency served during the performance period. Please refer to the information below for this question.
2. Explain the method(s) of collection your agency used to collect and maintain statistical information regarding crime victim(s) data.
3. Explain what method(s) your agency used to assist crime victims in seeking benefit and referral information to apply for compensation benefits.
4. Describe how your agency used volunteers within the performance period, including the number of volunteers, their job descriptions, number of hours of mandatory training, and number of hours worked.
5. Describe the type of survey or evaluation method(s) your agency utilized to evaluate crime victim services, and how the evaluation information is used to measure outcomes and future program revisions if needed.
6. Describe in detail any internal or external challenges or barriers encountered which prevented services from being provided and what method or strategy was used to overcome the challenges or barriers.
7. Describe in detail how your agency made a genuine effort to collaborate with local and state agencies to receive support regarding projects or performance of direct services during the performance period.
8. Describe the progress your agency has made toward achieving financial sustainability from other sources in addition to VOCA Grant funding.
9. State how your agency progressed toward or achieved success in meeting the proposed performance goals stated in your VOCA Grant Application.
10. Include two case illustrations showing how VOCA funds have been used to assist crime victims. To maintain confidentiality of victim(s) use Jane Doe or John Doe in your examples, not fictitious names.

POPULATION DEMOGRAPHIC CATEGORIES: SELF REPORTED

RACE/ETHNICITY:

1. American Indian or Alaska Native.
2. Asian.
3. Black or African American.
4. Hispanic or Latino.
5. Native Hawaiian, Pacific Islander or Other (Description Required).
6. White Non-Latino or Caucasian Other Race.
7. Multiple Races.

GENDER IDENTITY:

1. Male.
2. Female.
3. Other (Description Required).

VICTIMIZATION TYPES:

1. Adult Physical Assault, Aggravated or Simple Assault.
2. Adult Sexual Assault.
3. Adults Sexually Abused/Assaulted as Children.
4. Arson.
5. Bullying Verbal, Cyber, or Physical.
6. Burglary.
7. Child Physical Abuse or Neglect.
8. Child Pornography.
9. Child Sexual Abuse/Assault.
10. Domestic and/or Family Violence.
11. DUI/DWI Incidents.
12. Elder Abuse or Neglect.
13. Hate Crime Racial, Religious, Gender, Sexual Orientation, Other: (please explain).
14. Human Trafficking: Sex or Labor.
15. Identity Crime Theft, Fraud, or Financial.
16. Kidnapping Custodial/Non-Custodial.
17. Mass Violence Domestic or International.
18. Other: Explain.
19. Other Vehicular Victimization: Hit and Run.
20. Robbery.
21. Stalking or Harassment.
22. Survivors of Homicide Victims.
23. Teen Dating Victimization.
24. Terrorism: Domestic or International.

VICTIMIZATION TYPES BY SPECIAL CLASSIFICATION OF INDIVIDUALS:

1. Deaf or Hard of Hearing.
2. Homeless.
3. Immigrants, Refugees, Asylum Seekers.
4. LGBTQ2S-Lesbian, Gay, Bisexual, Transsexual, Queer, Two Spirits (indigenous people with both male/female traits).
5. Veterans.
6. Victims with Cognitive, Physical or Mental Disabilities.
7. Victims with Limited English Proficiency.
8. Other (Explanation Required).

VICTIMS - CONSIDERED SECONDARY VICTIMS CHILD, CHILDREN, OR OTHER INDIVIDUALS SHALL BE COUNTED ONLY IF:

1. The crime was perpetrated upon the child or children also; or if they received direct contact by one of your staff members; or benefited directly from services provided by your agency.
2. If a child or children are listed on a protection order, the child or children may be counted as a victim if he or she is being provided with a direct service. The mere listing of a child or children on a protection order does not mean that the child or children are being provided with a service. Use your best judgment in determining whether the child or children are in need of and being provided with a direct service.

VICTIMS - COUNTING VICTIMS OF CRIME WITHOUT DUPLICATION:

1. In a crisis intervention situation, mom and four children were placed at a shelter. The number of victims counted is five.

2. Mom is at the subrecipient agency for support group and is benefiting from counseling. The three children in her custody and the family have not received other direct services. The number of victims counted is one.
3. Mom and two children required food assistance and paying the utility bill. If mom is a victim of crime, the number of victims counted will be three; the children would benefit directly from the food and heat.
4. A non-custodial mom of three children received emergency shelter. The number of victims counted is one.

VICTIMS - COUNTING VICTIMS OF CRIME WITHOUT DUPLICATION:

All victims of crime shall be counted only once during the performance period, except when a victim receives services for a separate and unrelated crime.

DUE DATE Federal Fiscal Year	TYPE OF REPORT	DOCUMENTATION	SUBMISSION
10/15/27	Closeout Report	Statistical and narrative information for the period of 10/1/26 - 09/30/27	https://apps.nd.gov/docr/cosmos/menu/

PROFESSIONAL CONDUCT POLICY

At the North Dakota Department of Corrections and Rehabilitation (DOCR) we prioritize fostering a respectful and positive environment for both our team and our subrecipients. Which also should be extended to victims of crime. The success of the program hinges on maintaining a culture of mutual respect, professionalism, and dignity in all interactions. This policy outlines the expected behavior of subrecipients towards our team members to ensure a productive relationship.

EXPECTATIONS:

- Professional Communication: Subrecipients are encouraged to communicate any concerns, feedback, or complaints in a constructive and professional manner. Disagreements or dissatisfaction should be expressed calmly and respectfully.
- Compliance with Policies: Subrecipients are required to comply with all DOCR policies, guidelines and procedures.
- Resolving Disputes: In the event of a disagreement or dispute, subrecipients are encouraged to engage in constructive dialogue and seek resolution through appropriate channels.

Any violation of the expectations may result in various measures, including sanctions, as detailed below in subrecipient non-compliance.

As the State Administering Agency, the ND DOCR is your partner and we want to ensure every agency receives appropriate state funding; however, there needs to be a respectful and constructive relationship in place.

SUBRECIPIENT NON-COMPLIANCE

The North Dakota Department of Corrections and Rehabilitation (DOCR) will make multiple attempts to correct and resolve compliance issues with subrecipients. The ND DOCR can add Specific Conditions to any VOCA Subrecipient Agreement to address compliance issues. However, continued violation of the VOCA Subrecipient Agreement could result in one or more sanctions, as authorized under 2 CFR 200.338 outlined in paragraph 5, Risk Assessments, Monitoring, and Remedies, of the VOCA Grant Subrecipient Agreement.

Sanction(s) may be imposed for one or more of the violations below:

1. Failure to submit three Performance Measure Tool reports by the required deadline within any 18-month period.
2. Failure to submit a reimbursement request for two successive quarters, or any three quarters in any 18-month period.
3. Failure to complete a closeout report prior to the deadline.
4. Failure to complete the PMT annually reported questions prior to the deadline.
5. Failure to comply with completing the SAR or Risk Assessment prior to the deadline.
6. Failure to comply with one or more expectations outlined in the professional conduct policy.
7. Failure to comply with any portion of the Subrecipient Agreement, Exhibits, and Specific Conditions will result in permanent debarment from future programs.

THE AUTHORIZED SANCTIONS MAY INCLUDE ONE OR MORE OF THE FOLLOWING:

Temporarily withhold reimbursements pending correction of the deficiency by the entity, or more severe enforcement action by the awarding agency or pass-through entity.

1. Disallow (that is, deny both use of funds and any applicable matching credit for) all or part of the cost of the activity or action not in compliance.
2. Wholly or partly suspend or terminate the award.
3. Initiate suspension or debarment proceedings as authorized under 2 CFR part 180 and Federal awarding agency regulations (or in the case of a pass-through entity, recommend such a proceeding be initiated by a Federal awarding agency).
4. Withhold further awards for the project or program.
5. Take other remedies that may be legally available.

Should the ND DOCR decide to partly suspend the award, the following graduated sanctions may be used. The sanction should be based on the number of violations. Multiple violations can be counted as separate incidents, therefore increasing the severity of the sanction.

- First incident – minimum of \$1,000 partial suspension or 1% of the award, whichever is greater.
- Second incident – minimum of \$5,000 partial suspension or 5% of the award, whichever is greater.
- Third incident – termination of award.

The ND DOCR shall impose a partly suspended award no later than the beginning of the 4th quarter of the performance period and will affect the funding within that performance period. The ND DOCR shall make all reasonable efforts to reallocate funding to another subrecipient within fifteen (15) days.

INDIVIDUAL COSMOS USER ACCOUNTS

Subrecipients will be required to establish **INDIVIDUAL USER ACCOUNTS** prior to the beginning of the performance period for all staff with access to COSMOS. This provides an additional level of security for

subrecipients. This is helpful during staff changes as well. A Subrecipient **MAY NOT** use a single sign on approach when setting up or updating its COSMOS profile.

ELIGIBLE DIRECT SERVICES & COSTS

PROSECUTION OF CRIMINAL ACTIVITIES:

Victim assistance services (e.g. emotional support, advocacy and legal services) to victims of crime. Assistance to witnesses are not eligible expenses unless the witness is also a victim of crime.

ADVOCACY AND PARTICIPATION IN CRIMINAL AND PUBLIC PROCEEDINGS:

The provision of services and payment of costs that assist victims to participate in the criminal justice system and other public proceedings arising from the crime include: advocacy on behalf of the victims of crime, accompanying a victim of crime to court; transportation, meals, lodging to allow a victim of crime (who are not witnesses) to participate in a court proceeding; interpreting for a limited English or disabled victim of crime; providing childcare, assistance with a victim crimes impact statements.

CRISIS INTERVENTION SERVICES:

In-person crisis intervention, emotional support, guidance and counseling provided by advocates, counselors, mental health professionals, or peers. Crisis intervention services may occur at the scene of a crime, immediately after a crime, or ongoing as needed after the crime.

CRISIS HOTLINE COUNSELING:

Telephone service offered twenty-four (24) hours per day, seven (7) days per week providing counseling, guidance, emotional support, and information and referral services.

CRIMINAL JUSTICE PARTICIPATION SUPPORT AND ADVOCACY:

Provision of services and payment of costs to assist a victim of crimes participation in the criminal justice system and other public proceedings arising from a crime including, but not limited to:

1. Advocacy on behalf of the victims of crime.
2. Accompanying a victim(s) of crime to office appointments or court.
3. Assistance with Victim Impact Statements; recovering property; restitution advocacy.
4. Child and respite care to enable a caregiver victim of crime to attend legal proceedings.
5. Interpreting services for a non-witness victim of a crime who is deaf, hard of hearing, or limited English proficiency.
6. Notification to victims of crime regarding court proceeding dates (e.g., trial dates; case disposition; incarceration; parole hearings).
7. Transportation, meals, lodging to allow victims of crime who are not witnesses to participate in court proceedings.

EMERGENCY ASSISTANCE:

Emergency assistance is offered after victimization to prevent re-victimization (e.g., security lock replacement, etc.)

EMERGENCY FINANCIAL ASSISTANCE:

Transportation, food, clothing, and emergency housing.

EMERGENCY LEGAL ADVOCACY:

Filing temporary restraining orders, protective orders, and obtaining emergency custody orders and visitation rights.

FOLLOW-UP CONTACT:

In-person contacts, telephone contacts, and written communications with a victim of crime to offer emotional support, provide empathetic listening, periodic checks on their progress, etc.

FORENSIC INTERVIEW COSTS ALLOWABLE WITH THE FOLLOWING PARAMETERS:

1. Results of the interview will be used not only for law enforcement and prosecution purposes, but also for identification of needs such as social services, personal advocacy, case management, substance abuse treatment, and mental health services.
2. Interviews are conducted in the context of a multidisciplinary investigation and diagnostic team, or in a specialized setting such as a child advocacy center.
3. The interviewer is trained to conduct forensic interviews appropriate to the developmental age and abilities of children, or the developmental, cognitive, and physical or communication disabilities presented by adults.

FRINGE BENEFITS:

Prorated portion of fringe benefits for direct service providers whose salaries are funded, in part, by the VOCA grant is an allowable cost. It is required to use the correct proration to justify the amount budgeted for fringe benefits.

GROUP TREATMENT:

Coordination and provision of supportive group activities, includes self-help, peer support group, social support, etc.

HEALTH INSURANCE:

A portion of applicable fringe benefits for direct service providers, whose salaries are funded in part with VOCA grant funding, may include health insurance.

IMMEDIATE EMOTIONAL, PSYCHOLOGICAL AND PHYSICAL HEALTH AND SAFETY:

Services responding to the immediate needs of a victim of crime, including but not limited to:

1. Accompany a victim of crime to the hospital for medical examinations.
2. Crisis intervention services.
3. Emergency food, shelter, clothing, and transportation.
4. Emergency legal assistance. Filing for a restraining order or protective order and obtaining emergency custody orders and visitation rights.
5. Hotline counseling.
6. Medical Care – emergency basis. If victim of crimes' health care funding source is not expected to meet emergency needs of the crime victim (within 48 hours of the crime) including the North Dakota State Compensation Program; the crime victim's health insurance plan (in the case of a minor child, the child's parent or guardian); or Medicaid.
7. Non-prescription and prescription medicine; prophylactic or other treatment to prevent HIV/AIDS infection or other infectious disease; durable medical equipment (e.g., crutches, wheelchair, hearing aids, eyeglasses and other healthcare items).
8. Safety planning.
9. Short-term (up to 45 days) in-home care or supervision services for children and adults who remain in their own home when the offender/caregiver is removed.
10. Short-term (up to 45 days) nursing home, adult foster care, or group-home placement for adults for whom no other safe, short-term residence is available.
11. Window, door or lock replacement or repair, and other repairs necessary to ensure the crime victims safety.

INFORMATION/REFERRAL-IN PERSON:

Refers to in-person contact with the crime victim during which time, services and available support are identified.

INSURANCE:

Buildings, security guards, or vehicles shall be covered by liability insurance.

LEGAL ASSISTANCE SERVICES:

Allows for legal assistance services (including, but not limited to, those provided on an emergency basis), where reasonable, and where a need for services arises as a direct result of victimization (e.g. assert victim rights in a criminal proceeding directly related to the victimization, or otherwise protect their safety, privacy, or other interests as victims in such a proceeding; motions to vacate or expunge a conviction, or similar actions, where the jurisdiction permits such a legal action based on a person being a crime victim; and those actions (other than tort actions) that, in civil context, are reasonably necessary as a direct result of the victimization.

MENTAL HEALTH COUNSELING AND CARE:

Out-patient therapy, counseling, and substance abuse treatment (treatment is directly related to the victimization) and provided by a trained individual with professional standards to provide services in the jurisdiction in which care is administered.

PEER SUPPORT:

Activities that provide opportunities for crime victims to meet other crime victims, share experiences and provide self-help, information and emotional support.

PERSONAL ADVOCACY AND EMOTIONAL SUPPORT - ASSISTING A VICTIM OF CRIME TO:

1. Assess the impact of the crime.
2. Identification of needs.
3. Case management.
4. Management of practical problems created by the victimization.
5. Identification of resources available.
6. Provision of information, referral, advocacy, and follow-up contact for continued services.
7. Transitional, cultural, alternative, or healing therapy.

PRINTING, POSTAGE, ADVERTISING AND BROCHURES:

Reasonable costs for printing and postage of brochures describing the program's direct services and how to obtain services to be distributed or available for victims of crime.

PUBLIC AWARENESS AND EDUCATIONAL PRESENTATIONS:

The development of presentation materials, brochures, newspaper notices and public service announcements to be distributed in schools, community centers and other public forums designed to inform victims of crime of their rights and provide referral services or assistance.

RELOCATION OF VICTIMS OF CRIME:

Subject to restrictions on amount, length of time and eligible crimes, set by the ND DOCR. Relocation of victims of crimes may be necessary for their safety and well-being, including, but not limited to reasonable moving expenses, security deposits for housing, rental expenses and utility start-up costs.

RENT, TELEPHONE, AND UTILITIES:

A subrecipient may prorate the reasonable cost of items for a VOCA funded project. The rental charge must be consistent with the prevailing rental rate in the local area.

SALARY OR APPLICABLE PORTION OF SALARY:

Employees providing direct services to victims of crime only. Salaries for other employees, whose functions are strictly administrative or operational, are not eligible (e.g. program administrators, bookkeepers, secretaries.) Gross salary (before taxes) amounts are to be used when budgeting for salary.

SHELTER/SAFE HOUSE:

Short-term and long-term housing and related support services to victims of crimes and their families following victimization.

SUPPLIES:

A subrecipient may prorate the reasonable cost of items attributed to direct services.

TELEPHONE CONTACT INFORMATION/REFERRAL:

Contacts with victims of crime during which time services and available support are identified. This does not include calls during which counseling is the primary function of the telephone contact.

THERAPY:

Therapy including intensive professional therapy, psychological and/or psychiatric treatment for individuals, couples, and family members relating to counseling; to provide emotional support in a crisis arising from the occurrence of a crime. This includes the evaluation of mental health needs, as well as the actual delivery of psychotherapy.

TRAINING FOR EMPLOYEE DEVELOPMENT:

In-state training costs for salaried employees, volunteers (if reasonable and directly related to the skills required to provide direct services to victims of crime) are allowable under VOCA Grant guidelines (e.g. training to provide skills to employees to enable them to effectively and directly assist individual victims of crime). Out-of-state training shall be approved in advance by the ND DOCR.

TRAINING MANUALS, BOOKS, AND MATERIALS:

Training resources shall be essential in the training and management of staff, and volunteers.

TRANSITIONAL HOUSING COSTS:

Victims of crime who have particular needs for housing and cannot safely return to their previous housing due to victimization. Victims of crime in need of transitional housing would be victims of human trafficking; victims with disabilities or elderly victims abused by caretakers; domestic violence victims and their dependents and sexual assault victims. Costs would include travel, rental assistance, security deposits, utilities and other costs related to relocation, as well as voluntary support services, including childcare and counseling.

TRANSPORTATION OF VICTIMS OF CRIME:

Victims of crimes shall be provided transportation to receive services and participate in criminal justice proceedings.

TRAVEL-IN STATE:

In-state travel shall include providing direct services to victims of crime for emergency transportation. Any necessary and reasonable participation in eligible training for direct service employees requiring in-state travel does not require approval from the ND DOCR. However, reimbursement rates may not exceed the Federal U.S. General Services Administration guidelines (<https://www.gsa.gov/travel/plan-book/per-diem-rates>).

TRAVEL-OUT OF STATE:

Any necessary and reasonable participation in eligible training for direct service employees requiring out-of-state travel, shall require written pre-approval from the ND DOCR. However, reimbursement rates may not exceed the Federal U.S. General Services Administration guidelines (<https://www.gsa.gov/travel/plan-book/per-diem-rates>).

VEHICLE LEASE OR PURCHASE:

Vehicles may be leased or purchased by a subrecipients authorized representative. If the vehicle is used to

provide direct services to victims of crime and length of use and disposal process is followed.

INELIGIBLE DIRECT SERVICES AND COSTS

ACTIVE INVESTIGATION OR PROSECUTION OF CRIMINAL ACTIVITIES:

Ineligible services or costs with the exception of the provision of victims of crimes assistance services, emotional support, advocacy, and legal services to victims of crime.

ADVERTISING:

All areas of crime prevention distributed through literature and advertisements.

CAPITAL EXPENDITURES:

Capital improvements, property losses, expenses, real estate purchases, mortgage payments and construction are prohibited.

COMPENSATION FOR VICTIMS OF CRIME:

Reimbursement of expenses incurred as the result of a crime, are prohibited to victims of crime through VOCA grant funding.

CRIME PREVENTION PROGRAMS:

All activities involving or relating to crime prevention are prohibited.

CRIMINAL JUSTICE IMPROVEMENTS:

Improvements (where victims of crime are not the sole or primary beneficiaries of direct services) are ineligible.

FUNDRAISING:

Any activities related to fundraising, including financial support for a charity, cause, or other enterprise and fundraiser salary are prohibited.

LOBBYING OR ADVOCACY ACTIVITIES:

Conducted directly or indirectly, with respect to legislation or to administrative changes to regulations, or administrative policies are prohibited.

MEDICAL CARE:

Costs for or related emergency or non-emergency medical care.

NEEDS ASSESSMENTS, SURVEYS, EVALUATIONS, RESEARCH AND STUDIES:

Victims of crime issues or data shall not be researched by individuals, organizations, task forces, or special commissions, except for project evaluation only.

PROFESSIONAL SERVICES OF ATTORNEYS AND PHYSICIANS:

Activities directed at prosecuting an offender and/or improving the criminal justice system's effectiveness or efficiency (e.g. witness notification, management activities and expert testimony at trial).

SALARY, FRINGE BENEFITS, AND EXPENSES OF MANAGEMENT:

Expenses for salaries, fringe benefits, fees, furniture, equipment, technology and other expenses of executive board of directors, board members, and other administrators that do not provide direct services are prohibited.